

**BLACK SLUICE INTERNAL DRAINAGE BOARD  
RISK REGISTER**

| Objectives                                                                                                              | Ref | Risk                                                                                                                 | Potential Impact of Risk | Potential Likelihood of Risk | Risk Score | Gaps in control | Action Plan |
|-------------------------------------------------------------------------------------------------------------------------|-----|----------------------------------------------------------------------------------------------------------------------|--------------------------|------------------------------|------------|-----------------|-------------|
| To provide and maintain standards of sound needs based sustainable flood protection.                                    | 1.1 | Being unable to prevent flooding to property or land<br>(a) Coastal flooding from failure or overtopping of defences | High                     | Low                          | 3          |                 |             |
|                                                                                                                         | 1.1 | (b) Fluvial flooding from failure or overtopping of defences                                                         | High                     | Medium                       | 6          |                 |             |
|                                                                                                                         | 1.1 | (c) Flooding from failure of IDB pumping stations or excess rainfall                                                 | High                     | Low                          | 3          |                 |             |
|                                                                                                                         | 1.1 | (d) Flooding from sewers or riparian watercourses                                                                    | Medium                   | Low                          | 2          |                 |             |
|                                                                                                                         | 1.2 | Loss of Electrical Supply                                                                                            | High                     | Low                          | 3          |                 |             |
|                                                                                                                         | 1.3 | Pumps failing to operate                                                                                             | High                     | Low                          | 3          |                 | Maintenance |
|                                                                                                                         | 1.4 | Board Watercourses being unable to convey water                                                                      | Medium                   | Low                          | 2          |                 | Maintenance |
|                                                                                                                         | 1.5 | Operating machinery to maintain watercourses                                                                         | Medium                   | Low                          | 2          |                 | Training    |
|                                                                                                                         | 1.6 | Claims from third parties for damage to property or injury                                                           | Medium                   | Low                          | 2          |                 |             |
|                                                                                                                         | 1.7 | Third Parties damage to Board maintained assets                                                                      | Medium                   | Low                          | 2          |                 |             |
|                                                                                                                         | 1.8 | Loss of senior staff                                                                                                 | Medium                   | Low                          | 2          |                 |             |
|                                                                                                                         | 1.9 | Insufficient finance to carry out works                                                                              | Medium                   | Low                          | 2          |                 |             |
| To conserve and enhance the environment wherever practical and possible to ensure there is no net loss of biodiversity. | 2.1 | Prosecution for not adhering to environmental legislation                                                            | Medium                   | Low                          | 2          |                 | BAP         |
|                                                                                                                         | 2.2 | Non delivery of objectives                                                                                           | Low                      | Low                          | 1          |                 | BAP         |
| To provide a 24 hour/365 day emergency response for the community                                                       | 3.1 | Emergency Plan inadequate or not up to date                                                                          | Low                      | Low                          | 1          |                 | Review      |
|                                                                                                                         | 3.2 | Insufficient resources (Staff and Equipment)                                                                         | Medium                   | Low                          | 2          |                 | Review      |
|                                                                                                                         | 3.3 | Critical Incident loss of office                                                                                     | High                     | Low                          | 3          | None            |             |
| To provide a safe and fulfilling working environment for staff.                                                         | 4.1 | Injury to staff and subsequent claims and losses                                                                     | Medium                   | Low                          | 2          |                 | Training    |
|                                                                                                                         | 4.2 | Not complying with Health and Safety legislation                                                                     | High                     | Low                          | 3          |                 | Consultant  |
| To maintain financial records that are correct and comply with all recommended accounting practice.                     | 5.1 | Loss of cash                                                                                                         | Low                      | Low                          | 1          | None            |             |
|                                                                                                                         | 5.2 | Loss of money invested in building societies, banks and managed funds                                                | Medium                   | Low                          | 2          | None            |             |
|                                                                                                                         | 5.3 | Fraud by senior officers                                                                                             | Low                      | Low                          | 1          | None            |             |
|                                                                                                                         | 5.4 | Inadequacy of Internal Checks                                                                                        | Medium                   | Low                          | 2          |                 |             |
|                                                                                                                         | 5.5 | Fraudulent use of credit cards                                                                                       | Low                      | Medium                       | 2          |                 |             |
| To ensure that all actions taken by the Board comply with all current UK and EU legislation                             | 6.1 | Board Members in making decisions                                                                                    | Low                      | Low                          | 1          |                 |             |
|                                                                                                                         | 6.2 | Not complying with all employment regulations and laws                                                               | Medium                   | Low                          | 2          |                 |             |
| A cost efficient IDB that provides a Value for Money service.                                                           | 7.1 | Collecting insufficient income to fund expenditure                                                                   | Low                      | Low                          | 1          |                 | Accounts    |
|                                                                                                                         | 7.2 | IDB abolished or taken over                                                                                          | Low                      | Low                          | 1          |                 |             |
| Information Technology and Communications                                                                               | 8.1 | Loss of telemetry                                                                                                    | Medium                   | Low                          | 2          |                 | Maintenance |
|                                                                                                                         | 8.2 | Loss of telephone Communications                                                                                     | Low                      | Low                          | 1          |                 |             |
|                                                                                                                         | 8.3 | Loss of Internet Connection                                                                                          | Medium                   | Low                          | 2          |                 |             |
|                                                                                                                         | 8.4 | Network Failure                                                                                                      | High                     | Low                          | 3          |                 |             |
|                                                                                                                         | 8.5 | Breach in Cyber Security                                                                                             | Medium                   | Low                          | 2          |                 |             |
|                                                                                                                         | 8.6 | Network Security Breach                                                                                              | Medium                   | Low                          | 2          |                 |             |
|                                                                                                                         | 8.7 | Virus being introduced to Network                                                                                    | Medium                   | Low                          | 2          |                 |             |
|                                                                                                                         | 8.8 | Loss of accounting records                                                                                           | Medium                   | Low                          | 2          | None            |             |
|                                                                                                                         | 8.9 | Loss of rating records                                                                                               | Medium                   | Low                          | 2          | None            |             |